



Charles Harrison, Esq. · 2nd
Chief Operating Officer & General Counsel at Synthesis
Washington, District of Columbia, United States · [Contact info](#)

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2 mutual connections: Suzanna Rush and Mattia Astori

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Synthesis



University of San Diego
School of Law

About

Operator. Doer. Mover.

Activity

759 followers

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Experience



Chief Operating Officer & General Counsel

Synthesis · Full-time

Aug 2020 - Present · 1 yr 11 mos



FINRA (Financial Industry Regulatory Authority)

5 yrs 5 mos



Senior Attorney, Enforcement

Nov 2018 - Aug 2020 · 1 yr 10 mos

Washington D.C. Metro Area

- Successfully litigated large docket of cases against individual registered representatives for AML violations, fraud, disclosure failures, churning, unauthorized trading/discretion, and forgery re ...see more



Senior Regulatory Analyst - Corporate Financing, Public Offerings

Oct 2017 - Nov 2018 · 1 yr 2 mos

Washington D.C. Metro Area

- Analyzed IPOs and other public offerings for compliance with FINRA and SEC regulations.
- Issued official FINRA Opinion Letters clearing or denying public offerings. ...see more



Senior Examiner

Jan 2016 - Oct 2017 · 1 yr 10 mos

Dallas/Fort Worth Area

- Responsible for both Cause exams and Cycle exams.
- In-depth review of firm compliance with AML, BSA, KYC, SARS, and FCPA. ...see more

[Show all 4 experiences →](#)



Student Attorney

Morris, Sullivan & Lemkul LLP

Dec 2012 - Feb 2014 · 1 yr 3 mos

Greater San Diego Area

- Performed activities of an Attorney under the supervision of a licensed Attorney.
- Prepared advice memorandum to international corporations on financial impact of leg ...see more



Branch Manager

US Bank

Oct 2007 - 2011 · 3 yrs 4 mos

(Through acquisition of San Diego National Bank)

[...see more](#)



Branch Manager

San Diego National Bank

Oct 2007 - May 2010 · 2 yrs 8 mos

Greater San Diego Area

Responsible for opening DeNovo branch location in Scripps Ranch. Tasked with growing branch deposits, commercial loans, personal loans and location profit. Awarded Branch of the Year, E ...see more

[Show all 10 experiences →](#)

Education



University of San Diego School of Law



University of San Diego School of Law

Juris Doctor (J.D.), Law -Concentration in Business and Corporate Law
2011 - 2013

Activities and societies: Entrepreneur Clinic Associate, Legal Aid Client Interviewing, Business Law Society, Editor- California Business Practice.

Relevant Coursework:

• Securities Regulation and ...see more



Virginia Tech

Bachelor of Science (B.S.), Finance, Insurance, and Business Law
2001 - 2005

• Founder and President E.L.I.T.E., Entrepreneurs' Leadership Initiative to Train and Educate
• 2002 GE Student Leadership Conference, graduate ...see more



Virginia Tech - Pamplin College of Business

Bachelor of Science (B.S.), Finance, Insurance and Business Law
2001 - 2005

Licenses & certifications



MO Bar No. 71393

The Missouri Bar

Skills

Management



Endorsed by 2 colleagues at U.S. Bank



Endorsed by 6 people who know the skill



26 endorsements

Banking



Endorsed by Richard C. "Rich" Christensen and 1 other who is highly skilled at this



Endorsed by 2 colleagues at U.S. Bank



26 endorsements

Loans



Endorsed by 3 colleagues at U.S. Bank



22 endorsements

Show all 17 skills →

Recommendations

Received

Given



Gregory Deemer, JD LL.M MBA · 3rd

Federal Government Attorney

August 26, 2019, Gregory managed Charles directly

Charlie is an enthusiastic, intelligent, hard working employee that is an asset to any organization.



Kate Casebier · 3rd

Attorney

January 27, 2015, Kate managed Charles directly

Charlie worked as a law clerk for me at MSL and excelled in that position. He was detail oriented, hard working, and a quick learner. He exceeded my (high) expectations and made valuable contributions to my cases.



Stephanie Trinh · 3rd

Social Media Strategy & Management

November 30, 2011, Stephanie reported directly to Charles

It was a pleasure working for Charlie. I've worked under many managers and Charlie was by far one of the best. Charlie is not only a boss, but a leader. He has given me many opportunities and has taught me so much of what I know today. I would definitely work for Charlie again if the opportunity is presented.

Publications

Was the Facebook IPO a Fiasco?

Apr 25, 2013

Facebook, Inc. (Facebook) wanted to raise as much capital as possible while staying private as long as possible. To accomplish its goal, Facebook created a Special Purpose Vehicle (SPV) with Goldman Sachs (Goldr ...see more

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Frank Sloatman · 2nd

Chairman and CEO at Snowflake

22,815 followers

